

PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Application Number 10/661,149

Filing Date September 12, 2003

First Named Inventor Jason A. Ashton

Art Unit 2673

Examiner Name Unknown

Total Number of Pages in This Submission

88

Attorney Docket Number PA2269US

**ENCLOSURES (Check all that apply)**

- |  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form                                | <input type="checkbox"/> Drawing(s)                                       | <input type="checkbox"/> After Allowance communication to Group                            |
| <input type="checkbox"/> Fee Attached  | <input type="checkbox"/> Licensing-related Papers                         | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input type="checkbox"/> Amendment/Reply                                     | <input type="checkbox"/> Petition   | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Power of Attorney, Revocation                    | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Change of Correspondence Address                 | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer                              | 1) Postcard; 2) Duplicate copy of IDS;   |
| <input checked="" type="checkbox"/> Information Disclosure Statement         | <input type="checkbox"/> Request for Refund                               | 3) PTO/SB/08 (x2);   |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                        | 4) Statement of Co-Pending Applications (x2);  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   | 5) Copies of 2 Co-Pending Application  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |

Remarks Total page number does not include postcard.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Carr & Ferrell LLP	Cust. No. 22830
Signature		
Date	February 25, 2004	Reg. No. 50,250

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name Steven M. Colby, Reg. No. 50,250

Signature

Date

February 25, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Jason A. Ashton  
SERIAL NO.: 10/661,149  
CONFIRM. NO.: 6799  
FILED: September 12, 2003  
TITLE: Remote Control for Secure Transactions  
EXAMINER: Unknown  
GROUP ART UNIT: 2673  
ATTY.DKT.NO.: PA2269US

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COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 C.F.R. §§ 1.56, and 1.97-1.98**

SIR:


Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08 listing several references. Copies of the non-patent literature references have been provided in the patent application. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant(s)'s duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicants believe no fee is due with this submission. If a fee is due, however, the Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600. A copy of this statement is submitted in duplicate for this purpose.

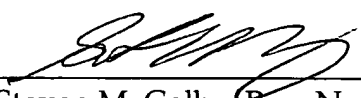
Respectfully submitted,  
Jason A. Ashton

Dated: 02/25/04

By:   
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Steven M. Colby, Reg. No. 50,250



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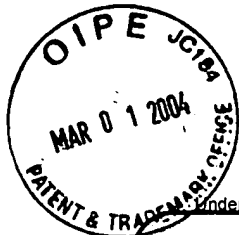
APPLICANT(S): Jason A. Ashton  
SERIAL NO.: 10/661,149  
FILED: September 12, 2003  
TITLE: Remote Control for Secure Transactions  
EXAMINER: Unknown  
GROUP ART UNIT: 2673  
ATTY.DKT.NO.: PA2269US

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**List of Co-Pending Patent Applications That May Be Directed  
Towards Similar Subject Matter**

Examiner's Initials	Serial Number	First-Named Inventor	Title	Filing Date
	10/680,691	Jason A. Ashton	One-Swipe Transactions	10/06/2003
	10/264,617	Jason A. Ashton	Secure Input Device	10/03/2002
	10/442,011	Jason A. Ashton	Card Present Network Transactions	05/19/2003
Examiner's Signature:				Date



PTO/SB/08a (05-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

2

**Complete if Known**

Application Number	10/661,149
Filing Date	September 12, 2003
First Named Inventor	Jason A. Ashton
Art Unit	2673
Examiner Name	Unknown
Attorney Docket Number	PA2269US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,055,592	04-25-2000	Smith	
		US- 5,550,561	08-27-1996	Ziarno	
		US- 6,304,248 B1	10-16-2001	Shiobara et al.	
		US- 6,560,709 B1	05-06-2003	Galovich	
		US- 5,878,142	03-02-1999	Caputo et al.	
		US- 2002/0005834 A1	01-17-2002	Oh	
		US- 6,585,158 B2	07-01-2003	Norskog	
		US- 2002/0140678 A1	10-03-2002	Kawashima et al.	
		US- 6,360,952 B1	03-26-2002	Kimlinger et al.	
		US- 6,014,636	01-11-2000	Reeder	
		US- 5,960,411	09-28-1999	Hartman et al.	
		US- 5,623,637	04-22-1997	Jones et al.	
		US- 4,734,858	03-29-1988	Schlaflly	
		US- 5,303,393	04-12-1994	Noreen et al.	
		US- 5,903,262	05-11-1999	Ichihashi et al.	
		US- 6,275,991 B1	08-14-2001	Erlin	
		US- 5,973,756	10-26-1999	Erlin	
		US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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**Complete if Known**

<b>Application Number</b>	10/661,149
<b>Filing Date</b>	September 12, 2003
<b>First Named Inventor</b>	Jason A. Ashton
<b>Art Unit</b>	2673
<b>Examiner Name</b>	Unknown
<b>Attorney Docket Number</b>	PA2269US

Sheet	2	of	2
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[illegible]Date  
Considered

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